

- VI. Based upon review of your Funding Year 2012 FCC Form 471 application # 826231, it appears that FRN 2254796 has the same contract number as FRN 2133642, that appeared on your Funding Year 2011 FCC Form 471 application number **788552**. The Contract Expiration Date ("CED") you entered in Block 5, Item 20 of your Funding Year 2012 FCC Form 471, has changed from **06/30/2012 to 9/30/2012**. The CED is different from the CED that appeared on your Funding Year 2011 FCC Form 471.

The Program rules require that a new FCC Form 470 be posted to the USAC website for at least 28-Days prior to extending an existing contract unless the establishing FCC Form 470 or RFP (if any) for the original contract made all potential bidders aware of your plans to extend the contract beyond its initial term.

To assist us in the review of your application, please provide a copy of the original contract signed and dated by the applicant, a copy of any contract extension (signed and dated by the applicant), and any bid documentation related to the original contract. Failure to provide a signed and dated contract may be cause for denial of the above-referenced Funding Year 2012 FRN. If the contract is a State Master Contract, you do not have to submit a copy of the signed contract if that contract is available online or has already been submitted to us during the review of another FCC Form 471 application. However, please provide us with the State Master Contract name and number. If you have already submitted the relevant contract information in connection with the review of another FCC Form 471 application, please provide the FCC Form 471 application number involved so that we can locate the contract documentation in our files.

Please answer the following questions, and provide the requested documentation where required:

5. Has the CED changed to a date later than what was reported on your Funding Year 2011 FCC Form 471 application # 788552, FRN 2133642? ☐ Yes ☐ No
6. Was FCC Form 470 # **834940000602166** the FCC Form 470 that established the competitive bidding process for the contract extension? ☐ Yes ☐ No

If No, please provide us with the correct FCC Form 470 number. The establishing FCC Form 470 is the specific FCC Form 470, which was posted to the USAC website for that particular service for at least 28 days, and pursuant to which a contract was signed, extended, or an agreement was entered into with a service provider for that service. For a request in the Basic Maintenance of Internal Connections (BMIC) service category that was filed prior to May 1, 2005, it is possible that the establishing FCC Form 470 was filed under the Internal Connections service category (prior to May 1, 2005 the BMIC service category did not exist on the FCC Form 470). Please note that the establishing FCC Form 470 could have been posted by your State, if the requested services are being purchased off of a State Master Contract. Please indicate if this is the case.

7. Did you post a FCC Form 470 for the required 28 day period for the extension? ☐ Yes ☐ No? If No, please explain.
8. Was the CED change based on an extension option/clause in the original FCC Form 470 or RFP? ☐ Yes ☐ No. If yes, please provide any extension agreement, signed and dated by the applicant, and confirm the FCC Form 470 number used to start the 28-day competitive bidding process for this extension.

For additional information see: <http://www.usac.org/sl/applicants/step03/contracts.aspx>.

Please fax or email the requested information to my attention. If you have any questions or if you require a further explanation of this request, please feel free to contact me.

It is important that we receive all of the information requested **within 15 calendar days** so we can complete our review. **Failure to respond may result in a reduction or denial of funding.** If you need additional time to prepare your response, please let me know as soon as possible.

Should you wish to cancel your FCC Form 471 application(s), or any of your individual funding requests, please clearly indicate in your response that it is your intention to cancel an application or funding request(s). Include in any cancellation request the FCC Form 471 application number(s) and/or funding request number(s), and the complete name, title and signature of the authorized individual.

Thank you for your cooperation and continued support of the Universal Service Program.

SarahAnn E. James

Associate Manager, Program Integrity Assurance Reviewer

30 Lanidex Plaza West | Parsippany, NJ 07054

T: 973-581-5029 | F: 973.599.6579

sarahann.james@sl.universalservice.org

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Tamera Burke

From: Tamera Burke
Sent: Tuesday, August 28, 2012 2:27 PM
To: James, SarahAnn
Subject: RE: FCC Form 471# 826231
Attachments: Item 21 FRN 2254826 & 2254827 with contract.pdf; Item 21 FRN 2254862 with contract.pdf; Item 21 FRN 2277621 with contract.pdf; Item 21 FRN 2254796 and 2254812 with contract.pdf

Ms. James,

Please see the responses to your questions below. If you have further questions please don't hesitate to ask.

- I. For FRNs 2254826, 2254862, and 2254827, please submit vendor documentation dated before March 20, 2012 that supports your monthly request. Please be sure to label the documentation by FRN number so it is easy to decipher.
Please see the attached file "Item 21 FRN 2254826 & 2254827 with contract" and "Item 21 FRN 2254862 with contract."
- II. For FRN 2277621, please submit vendor documentation dated before March 20, 2012 that supports your one-time charge request of \$4,720.97.
Please see the attached file "Item 21 FRN 2277621 with contract"
- III. Based on the documentation you provided during the review of your Funding Year 2012 FCC Form 471 826231 it appears that FRNs 2254826 and 2254862 includes charges associated with the following on-premise equipment:

Equipment.

The FCC has indicated that equipment at the applicant site is presumed to be Internal Connections, but that this presumption can be overcome in certain circumstances. In order for us to evaluate your request, please provide the following information. You may consult with your service provider for assistance, if desired.

Please provide a YES/NO response to the following questions, and include your signature and title; or if responding via email, include your name and title.

1. Is the leased on-premise equipment an integral component of a Telecommunications or Internet Access service? ☒ **Yes**
2. Will the leased on-premise equipment be provided by the same service provider that provides the associated Telecommunications Service or Internet Access service? ☒ **Yes**
3. Does responsibility for maintaining the equipment rest with the service provider? ☒ **Yes**
4. Will ownership of the equipment transfer to the school or library in the future? ☒ **No**
5. Does the relevant contract or lease include an option for the applicant to purchase the equipment? ☒ **No**
- 5a. If Yes, do you intend to exercise this option? Yes ☐ No ☒
6. Will the leased equipment be used at the applicant site for any purpose other than receipt of the eligible Telecommunications Services or Internet Access of which it is a part? ☒ **No**
7. Will the school's or library's internal communication systems (e.g., LAN, video, phone, or other communication system) continue to work if the component is disconnected? ☒ **Yes**
8. Are there any contractual, technical, or other limitations that would prevent the service provider from using its network equipment, in part, for other customers? ☒ **No**
9. Please provide the full make and model of the equipment for each FRN.

For FRN 2254826 the equipment is a Cisco C2650-24 Switch and a Polycom ZH323 Video codex

For FRN 2254862 the equipment is a Cisco 2960-24S Switch and a Polycom HDX 8000-720 Video codex

- IV. Based upon our review of your Funding Year 2012 FCC Form 471 application 826231, we have determined that the entities listed below are included in your request for services and they appear to be Pre-K facilities.

The entities are: **LINCOLN ELEMENTARY SCHOOL.**

In your state, separate Pre-K facilities dedicated to Pre-K instruction are not eligible for funding. However, services can be eligible for Pre-K student populations, if the Pre-K facility is part of (a component of) a regular elementary or secondary school.

Lincoln Elementary has Pre-K that is a component of a regular elementary school. It has grades Pre-K through 3rd.

- V. Based upon review of your Funding Year 2012 FCC Form 471 application # 826231, it appears that FRN 2254812 has the same contract number as FRN 2133653, that appeared on your Funding Year 2011 FCC Form 471 application number **788552**. The Contract Expiration Date ("CED") you entered in Block 5, Item 20 of your Funding Year 2012 FCC Form 471, has changed from **06/30/2012 to 9/30/2012**. The CED is different from the CED that appeared on your Funding Year 2011 FCC Form 471.

The Program rules require that a new FCC Form 470 be posted to the USAC website for at least 28-Days prior to extending an existing contract unless the establishing FCC Form 470 or RFP (if any) for the original contract made all potential bidders aware of your plans to extend the contract beyond its initial term.

To assist us in the review of your application, please provide a copy of the original contract signed and dated by the applicant, a copy of any contract extension (signed and dated by the applicant), and any bid documentation related to the original contract. Failure to provide a signed and dated contract may be cause for denial of the above-referenced Funding Year 2012 FRN. If the contract is a State Master Contract, you do not have to submit a copy of the signed contract if that contract is available online or has already been submitted to us during the review of another FCC Form 471 application. However, please provide us with the State Master Contract name and number. If you have already submitted the relevant contract information in connection with the review of another FCC Form 471 application, please provide the FCC Form 471 application number involved so that we can locate the contract documentation in our files. **Please see the attached file "Item 21 FRN 2254796 and 2254812 with contract"**

Please answer the following questions, and provide the requested documentation where required:

1. Has the CED changed to a date later than what was reported on your Funding Year 2011 FCC Form 471 application # 788552, FRN 2133653? **X Yes**
2. Was FCC Form 470 # **834940000602166** the FCC Form 470 that established the competitive bidding process for the contract extension? **X Yes**

- VI. Based upon review of your Funding Year 2012 FCC Form 471 application # 826231, it appears that FRN 2254796 has the same contract number as FRN 2133642, that appeared on your Funding Year 2011 FCC Form 471 application number **788552**. The Contract Expiration Date ("CED") you entered in Block 5, Item 20 of your Funding Year 2012 FCC Form 471, has changed from **06/30/2012 to 9/30/2012**. The CED is different from the CED that appeared on your Funding Year 2011 FCC Form 471.

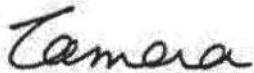
The Program rules require that a new FCC Form 470 be posted to the USAC website for at least 28-Days prior to extending an existing contract unless the establishing FCC Form 470 or RFP (if any) for the original contract made all potential bidders aware of your plans to extend the contract beyond its initial term.

To assist us in the review of your application, please provide a copy of the original contract signed and dated by the applicant, a copy of any contract extension (signed and dated by the applicant), and any bid documentation related to the original contract. Failure to provide a signed and dated contract may be cause for denial of the above-referenced Funding Year 2012 FRN. If the contract is a State Master Contract, you do not have to submit a copy of the signed contract if that contract is available online or has already been submitted to us during the review of another FCC Form 471 application. However, please provide us with the State Master Contract name and number. If you have already submitted the relevant contract information in connection with the review of another FCC Form 471 application, please provide the FCC Form 471 application number involved so that we can locate the contract documentation in our files. Please see the attached file "Item 21 FRN 2254796 and 2254812 with contract"

Please answer the following questions, and provide the requested documentation where required:

1. Has the CED changed to a date later than what was reported on your Funding Year 2011 FCC Form 471 application # 788552, FRN 2133642? X Yes
2. Was FCC Form 470 # **834940000602166** the FCC Form 470 that established the competitive bidding process for the contract extension ? X Yes

Tamera Burke



E-Rate Consultant
tburke@smokyhill.org
Smoky Hill Education Service Center
605 E. Crawford
Salina, KS 67401
785-825-9185

"Perfection is not attainable, but if we chase perfection we can catch excellence."
Vince Lombardi



H

Item 21 Attachment

Document 4.3

Telecommunications - Funding Year 2012

Applicant Name CLAY CENTER UNIFIED SCHOOL DISTRICT 379
Billed Entity Number 137973
Form 471 Application Number 826231
Funding Request Number 2254862
Service Provider Twin Valley Communications Inc
Attachment Number H
Narrative description of this Funding Request Leased terminating equipment used with included end to end digital ATM service. Used for distance learning.

| Service Type | Service Description | Eligible Pre-Discount Cost | |
|---------------------------------|---|---|-----------------------|
| 1 DTS - Other | Leased terminating equipment and end to end digital ATM service (3 MBPS). Used for distance learning. Meets all on premise priority 1 conditions. | \$28,800.00 | |
| | | Number of Telecom Lines (if applicable) | 1 |
| | | Recurring Charges | Non Recurring Charges |
| Monthly Recurring Charges | \$2,400.00 | One-time non-recurring charges | \$0.00 |
| Less Ineligible Amount (if any) | \$0.00 | Less Ineligible Amount (if any) | \$0.00 |
| Number of Months | 12 | | |
| Eligible recurring charges | \$28,800.00 | Eligible non-recurring charges | \$0.00 |
| | | Line item TOTAL \$28800 | |
| | Total: | \$28,800.00 | |
| | Funding Requested on 471: | \$28,800.00 | |

Further information Meets all requirements for on premise priority 1 lease bundles.

Date Submitted

2/23/2012 5:15:41 PM

Document 4.3

Twin Valley Communications, Inc.

PO Box 368
 Miltonvale, KS 67466-368
 Phone (785) 427-2288 Fax (785) 427-2216

DATE 7/1/2012
 DATE OF EXPIRATION 6/30/2015

Invoice For:

Name Ben Last
 Company Name USD 379
 Street Address 807 Dexter St
 City ST Zip Clay Center, Ks
 Phone 785-632-3176

Comments or Special Instructions:

Agreement is for 3 years which begins the date of installation of the below services.
 Early Termination fee of 50% of remaining contract will apply if agreement is not met.

| QUANTITY | DESCRIPTION | MONTHLY RATE | AMOUNT |
|--|---|--------------|-------------|
| | Distance Learning Circuits (3mb) | \$2,400 | 2,400.00 |
| | Distance Learning Equipment | Included | |
| | Codec/Polycom Video Encoder Lease | Included | |
| | Polycom Lease and Maintenance | Included | |
| | Telecommunications components for distance learning | Included | |
| | Digital Transmission Service | Included | |
| | Maintenance, Technical Support and Installation | Included | |
| SUBTOTAL | | | \$ 2,400.00 |
| SIGNATURE: <u>Mike [Signature]</u> DATE: <u>11-22-11</u> | | | |
| SIGNATURE: <u>[Signature]</u> DATE: <u>11-22-11</u> | | | |
| THANK YOU FOR YOUR BUSINESS | | | |

Copy 1- Customer Copy

Clay Center High

Contract period July 1, 2012 -
 June 30, 2015

SPIN 143035333

Tamera Burke

From: James, SarahAnn [SarahAnn.JAMES@sl.universalservice.org]
Sent: Thursday, August 30, 2012 9:14 AM
To: 'Tamera Burke@1-785-8259195'
Cc: Tamera Burke
Subject: FCC Form 471# 826231
Attachments: 15DL.NEW.doc

Importance: High

Dear Tamera Burke,

I am currently reviewing your funding year 2012 e-Rate application for **826231**. Additional documentation is required to complete the review of your application.

Please see the attached letter and if you have any questions, please feel free to contact me.

Sincerely,

SarahAnn E. James
Associate Manager, Program Integrity Assurance Reviewer
30 Lanidex Plaza West | Parsippany, NJ 07054
T: 973-581-5029 | F: 973.599.6579
sarahann.james@sl.universalservice.org

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Schools and Libraries Division

Aug 30, 2012

Tamera Burke
CLAY CENTER UNIFIED SCHOOL DISTRICT
379
Telephone:
Application Number

(785) 8259185
826231

Response Due Date: September 14, 2012.

The Program Integrity Assurance (PIA) team is in the process of reviewing all Funding Year 2012 FCC Form 471 Applications to ensure that they are in compliance with the rules of the Universal Service program. We are currently in the process of reviewing your Funding Year 2012 FCC Form 471 Application. To complete our review, we need some additional information. The information needed to complete the review is listed below.

I. For FRN 2254862, please provide the full SKU for the Polycom HDX 8000 (this should be a 12-digit number).

II. Based on the documentation you provided during the review of your Funding Year 2012 FCC Form 471 826231, it appears that FRN 2254862, includes charges associated with the following on-premise equipment: **Cisco 2960 and Polycom HDX 8000**. The FCC has indicated that equipment at the applicant site is presumed to be Internal Connections, but that this presumption can be overcome in certain circumstances. In order for us to evaluate your request, please provide the following information.

Please provide a configuration diagram of the products and services you are requesting. The diagram should include the on-premise equipment requested as a part of Internet Access or Telecommunications Services, and all other components, including Internal Connections, which directly connect to these components. In addition, the diagram should indicate the specific demarcation(s) points per service between the applicant's local communication facilities and the Telecommunications or Internet Access service.

For additional information regarding on-premise equipment, please visit <http://www.usac.org/sl/applicants/beforeyoubegin/eligible-services/priority-one.aspx>.

- III. Based on the documentation you provided during the review of your Funding Year 2012 FCC Form 471 826231, it appears that FRN 2254826, includes charges associated with the following on-premise equipment: **Cisco 2650 router and Polycom ZH323 Video codex**. The FCC has indicated that equipment at the applicant site is presumed to be Internal Connections, but that this presumption can be overcome in certain circumstances. In order for us to evaluate your request, please provide the following information.

Please provide a configuration diagram of the products and services you are requesting. The diagram should include the on-premise equipment requested as a part of Internet Access or Telecommunications Services, and all other components, including Internal Connections, which directly connect to these components. In addition, the diagram should indicate the specific demarcation(s) points per service between the applicant's local communication facilities and the Telecommunications or Internet Access service.

For additional information regarding on-premise equipment, please visit <http://www.usac.org/sl/applicants/beforeyoubegin/eligible-services/priority-one.aspx>.

- IV. Based on the documentation you provided during the review of your Funding Year 2012 FCC Form 471 826231 it appears that FRNs **2254827, 2254796 and 2254812** includes charges associated with the following on-premise equipment:

Equipment.

The FCC has indicated that equipment at the applicant site is presumed to be Internal Connections, but that this presumption can be overcome in certain circumstances. In order for us to evaluate your request, please provide the following information. You may consult with your service provider for assistance, if desired.

Please provide a YES/NO response to the following questions, and include your signature and title; or if responding via email, include your name and title.

1. Is the leased on-premise equipment an integral component of a Telecommunications or Internet Access service? ☐ Yes ☐ No
2. Will the leased on-premise equipment be provided by the same service provider that provides the associated Telecommunications Service or Internet Access service? ☐ Yes ☐ No
3. Does responsibility for maintaining the equipment rest with the service provider? ☐ Yes ☐ No
4. Will ownership of the equipment transfer to the school or library in the future? ☐ Yes ☐ No
5. Does the relevant contract or lease include an option for the applicant to purchase the equipment? ☐ Yes ☐ No
- 5a. If Yes, do you intend to exercise this option? Yes ☐ No ☐
6. Will the leased equipment be used at the applicant site for any purpose other than receipt of the eligible Telecommunications Services or Internet Access of which it is a part? ☐ Yes ☐ No
7. Will the school's or library's internal communication systems (e.g., LAN, video, phone, or other communication system) continue to work if the component is disconnected? ☐ Yes ☐ No
8. Are there any contractual, technical, or other limitations that would prevent the service provider from using its network equipment, in part, for other customers? ☐ Yes ☐ No

9. Please provide the full make and model of the equipment for each FRN.

For additional information regarding on-premise equipment, please visit
<http://www.usac.org/sl/applicants/beforeyoubegin/eligible-services/priority-one.aspx>.

V. For FRNs 2254796 and 2254812, please label exactly where the new Contract End Date of 9/30/2012 is located on the vendor documentation you submitted.

Please fax or email the requested information to my attention. If you have any questions or if you require a further explanation of this request, please feel free to contact me.

It is important that we receive all of the information requested **within 15 calendar days** so we can complete our review. **Failure to respond may result in a reduction or denial of funding.** If you need additional time to prepare your response, please let me know as soon as possible.

Should you wish to cancel your FCC Form 471 application(s), or any of your individual funding requests, please clearly indicate in your response that it is your intention to cancel an application or funding request(s). Include in any cancellation request the FCC Form 471 application number(s) and/or funding request number(s), and the complete name, title and signature of the authorized individual.

Thank you for your cooperation and continued support of the Universal Service Program.

SarahAnn E. James
Associate Manager, Program Integrity Assurance Reviewer
30 Lanidex Plaza West | Parsippany, NJ 07054
T: 973-581-5029 | F: 973.599.6579
sarahann.james@sl.universalservice.org

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Tamera Burke

From: Tamera Burke
Sent: Tuesday, September 11, 2012 4:06 PM
To: 'James, SarahAnn'
Subject: RE: FCC Form 471# 826231
Attachments: Clay Center USD 379 - Twin Valley Communications IDL Lease Configuration Diagram FRN 2254862.pdf; Clay Center USD 379 - Twin Valley Telephone IDL Lease Configuration Diagram FRN 2254826.pdf; Item 21 FRN 2254796 and 2254812 with contract.pdf; FRN 2254796 Equipment.xls

Ms. James,

Please see the answers to your questions below. If you have further questions please don't hesitate to ask.

- I. For FRN 2254862, please provide the full SKU for the Polycom HDX 8000 (this should be a 12-digit number).
7200-23150-001

- II. Based on the documentation you provided during the review of your Funding Year 2012 FCC Form 471 826231, it appears that FRN 2254862, includes charges associated with the following on-premise equipment: **Cisco 2960 and Polycom HDX 8000**. The FCC has indicated that equipment at the applicant site is presumed to be Internal Connections, but that this presumption can be overcome in certain circumstances. In order for us to evaluate your request, please provide the following information.

Please provide a configuration diagram of the products and services you are requesting. The diagram should include the on-premise equipment requested as a part of Internet Access or Telecommunications Services, and all other components, including Internal Connections, which directly connect to these components. In addition, the diagram should indicate the specific demarcation(s) points per service between the applicant's local communication facilities and the Telecommunications or Internet Access service.

Please see the attached file "Clay Center USD 379 - Twin Valley Communications IDL Lease Configuration Diagram FRN 2254862"

- III. Based on the documentation you provided during the review of your Funding Year 2012 FCC Form 471 826231, it appears that FRN 2254826, includes charges associated with the following on-premise equipment: **Cisco 2650 router and Polycom ZH323 Video codex**. The FCC has indicated that equipment at the applicant site is presumed to be Internal Connections, but that this presumption can be overcome in certain circumstances. In order for us to evaluate your request, please provide the following information.

Please provide a configuration diagram of the products and services you are requesting. The diagram should include the on-premise equipment requested as a part of Internet Access or Telecommunications Services, and all other components, including Internal Connections, which directly connect to these components. In addition, the diagram should indicate the specific demarcation(s) points per service between the applicant's local communication facilities and the Telecommunications or Internet Access service.

Please see the attached file "Clay Center USD 379 - Twin Valley Telephone IDL Lease Configuration Diagram FRN 2254826"

- IV. Based on the documentation you provided during the review of your Funding Year 2012 FCC Form 471 826231 it appears that FRNs **2254827, 2254796 and 2254812** includes charges associated with the following on-premise equipment:
FRN 2254827 does not include on-premise equipment. These funding requests is for service only.

For FRN 2254796 & 2254812

Equipment.

The FCC has indicated that equipment at the applicant site is presumed to be Internal Connections, but that this presumption can be overcome in certain circumstances. In order for us to evaluate your request, please provide the following information. You may consult with your service provider for assistance, if desired.

Please provide a YES/NO response to the following questions, and include your signature and title; or if responding via email, include your name and title.

1. Is the leased on-premise equipment an integral component of a Telecommunications or Internet Access service? X Yes
2. Will the leased on-premise equipment be provided by the same service provider that provides the associated Telecommunications Service or Internet Access service? X Yes
3. Does responsibility for maintaining the equipment rest with the service provider? X Yes
4. Will ownership of the equipment transfer to the school or library in the future? X No
5. Does the relevant contract or lease include an option for the applicant to purchase the equipment? X No
- 5a. If Yes, do you intend to exercise this option? Yes _____ No _____
6. Will the leased equipment be used at the applicant site for any purpose other than receipt of the eligible Telecommunications Services or Internet Access of which it is a part? X No
7. Will the school's or library's internal communication systems (e.g., LAN, video, phone, or other communication system) continue to work if the component is disconnected? X Yes
8. Are there any contractual, technical, or other limitations that would prevent the service provider from using its network equipment, in part, for other customers? X No
9. Please provide the full make and model of the equipment for each FRN.

For FRN 2254796 please see the attached file "FRN 2254796 Equipment" For FRN 225812 the equipment is a Cisco ISR 2811 with T3 card.

- V. For FRNs 2254796 and 2254812, please label exactly where the new Contract End Date of 9/30/2012 is located on the vendor documentation you submitted.

Page 14 of the attached file "Item 21 FRN 2254796 and 2254812 with contract" is where the contract end date originated. The actual end date is not the last day of the month so for E-Rate purposes we are only asking for it through September 30, 2012.

Tamera Burke



E-Rate Consultant

tburke@smokyhill.org

Smoky Hill Education Service Center

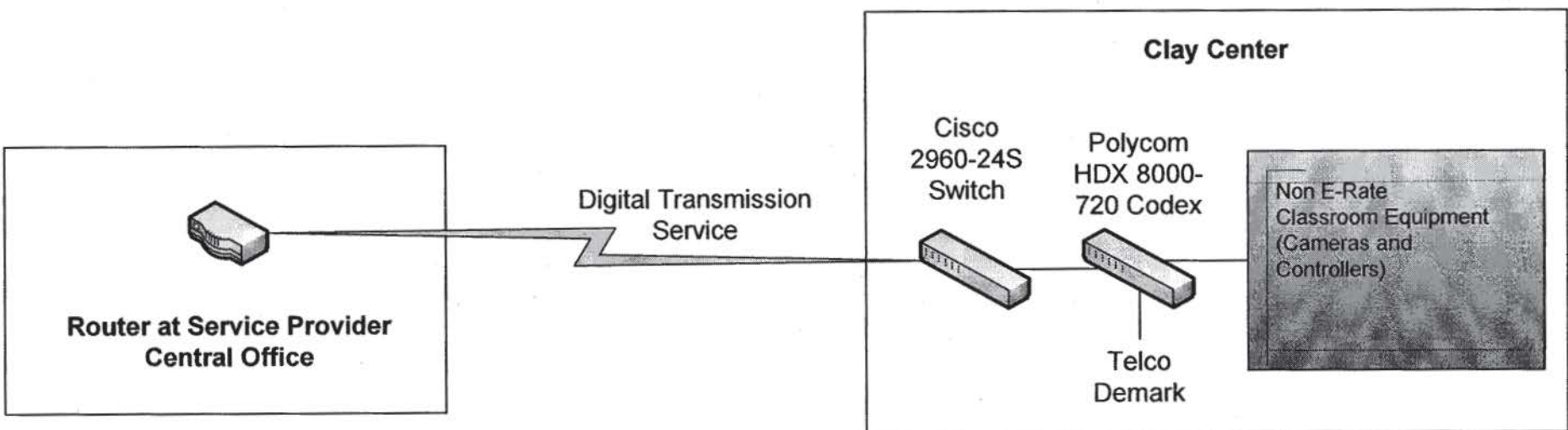
605 E. Crawford

Salina, KS 67401

785-825-9185

"Perfection is not attainable, but if we chase perfection we can catch excellence."

Vince Lombardi



Tamera Burke

From: James, SarahAnn [SarahAnn.JAMES@sl.universalservice.org]
Sent: Wednesday, October 10, 2012 8:03 AM
To: 'Tamera Burke@1-785-8259195'
Cc: Tamera Burke
Subject: FCC Form 471# 826231
Attachments: Equip.Letter.doc

Importance: High

Dear Tamera Burke,

I am currently reviewing your funding year 2012 e-Rate application for **826231**. Additional documentation is required to complete the review of your application.

Please see the attached letter and if you have any questions, please feel free to contact me.

Sincerely,

SarahAnn E. James
Associate Manager, Program Integrity Assurance Reviewer
30 Lanidex Plaza West | Parsippany, NJ 07054
T: 973-581-5029 | F: 973.599.6579
sarahann.james@sl.universalservice.org

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Schools and Libraries Division

Oct 10, 2012

Tamera Burke
CLAY CENTER UNIFIED SCHOOL DISTRICT
379

Telephone: (785) 8259185
Application Number 826231

Response Due Date: October 25, 2012.

The Program Integrity Assurance (PIA) team is in the process of reviewing all Funding Year 2012 FCC Form 471 Applications to ensure that they are in compliance with the rules of the Universal Service program. We are currently in the process of reviewing your Funding Year 2012 FCC Form 471 Application. To complete our review, we need some additional information. The information needed to complete the review is listed below.

- I. For FRN 2254826, please provide the full cost of the lease for the Polycom ViewStation H323 Video Conferencing System.
- II. For FRN 2254862, please provide the full cost of the lease for the Polycom HDX 8000 series 7200-23150-001.

Please fax or email the requested information to my attention. If you have any questions or if you require a further explanation of this request, please feel free to contact me.

It is important that we receive all of the information requested **within 15 calendar days** so we can complete our review. **Failure to respond may result in a reduction or denial of funding. If you need additional time to prepare your response, please let me know as soon as possible.**

Should you wish to cancel your FCC Form 471 application(s), or any of your individual funding requests, please clearly indicate in your response that it is your intention to cancel an application or funding request(s). Include in any cancellation request the FCC Form 471 application number(s) and/or funding request number(s), and the complete name, title and signature of the authorized individual.

Thank you for your cooperation and continued support of the Universal Service Program.

SarahAnn E. James

Associate Manager, Program Integrity Assurance Reviewer

30 Lanidex Plaza West | Parsippany, NJ 07054

T: 973-581-5029 | F: 973.599.6579

sarahann.james@sl.universalservice.org

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Tamera Burke

From: Tamera Burke
Sent: Tuesday, October 16, 2012 4:22 PM
To: 'James, SarahAnn'
Subject: RE: FCC Form 471# 826231

Ms. James,

- I. For FRN 2254826, please provide the full cost of the lease for the Polycom ViewStation H323 Video Conferencing System
The lease of the distance learning equipment is part of a bundle including maintenance of the equipment and the telecommunications line used with the distance learning equipment. The cost in the lease specific to the equipment is \$863.50 per month or \$10,362 for the funding year. Ownership of the leased equipment remains with the service provider with no option of ownership for the district.
- II. For FRN 2254862, please provide the full cost of the lease for the Polycom HDX 8000 series 7200-23150-001. The lease of the distance learning equipment is part of a bundle including maintenance of the equipment and the telecommunications line used with the distance learning equipment. The cost in the lease specific to the equipment is \$1,600 per month or \$19,200 for the funding year. Ownership of the leased equipment remains with the service provider with no option of ownership for the district.

Tamera Burke



E-Rate Consultant
tburke@smokyhill.org
Smoky Hill Education Service Center
605 E. Crawford
Salina, KS 67401
785-825-9185

"Perfection is not attainable, but if we chase perfection we can catch excellence."
Vince Lombardi

Tamera Burke

From: James, SarahAnn [SarahAnn.JAMES@sl.universalservice.org]
Sent: Thursday, October 25, 2012 7:04 AM
To: 'Tamera Burke@1-785-8259195'
Cc: Tamera Burke
Subject: FCC Form 471# 826231
Attachments: Ineligibles.Letter.doc

Importance: High

Dear Tamera Burke,

I am currently reviewing your funding year 2012 e-Rate application for **826231**. Additional documentation is required to complete the review of your application.

Please see the attached letter and if you have any questions, please feel free to contact me.

Sincerely,

SarahAnn E. James
Associate Manager, Program Integrity Assurance Reviewer
30 Lanidex Plaza West | Parsippany, NJ 07054
T: 973-581-5029 | F: 973.599.6579
sarahann.james@sl.universalservice.org

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Schools and Libraries Division

Oct 25, 2012

Tamera Burke
CLAY CENTER UNIFIED SCHOOL DISTRICT
379

Telephone: (785) 8259185
Application Number 826231

Response Due Date: November 2, 2012.

The Program Integrity Assurance (PIA) team is in the process of reviewing all Funding Year 2012 FCC Form 471 Applications to ensure that they are in compliance with the rules of the Universal Service program. We are currently in the process of reviewing your Funding Year 2012 FCC Form 471 Application. To complete our review, we need some additional information. The information needed to complete the review is listed below.

- I. Based on documentation that you provided during the review of your Funding Year 2012 FCC Form 471 826231, we have determined that FRN 2254826 includes ineligible items. According to Program rules, USAC is unable to fund ineligible products or services. The charges associated with ineligible items must be identified and removed from the funding request. Listed below are the ineligible items and their associated costs:

Polycom ViewStation H323 Video Conferencing System (74.3% eligible)
Remove \$221.92/month

New FRN amount: \$688.16/month

Please confirm that you agree with the cost allocation and that the ineligible item(s) should be removed from the FRN. ☐ Yes or ☐ No

What to do if you disagree**Option I**

If you do not agree with the cost allocation, you must provide an alternative cost allocation method or formula identifying the cost(s) associated with the ineligible item(s). Such a cost allocation must be based on tangible criteria and reach a realistic result. For further information, see "Cost Allocation Guidelines for Products and Services" located in the Reference Area of the USAC Web site at:
<http://www.usac.org/sl/applicants/beforeyoubegin/eligible-services/cost-allocations.aspx>.

Option II

If you do not agree with our eligibility assessment of your product(s) or service(s), you must provide third party documentation (e.g., vendor bill, contract, service agreement, etc.) supporting your position and showing why this product or service is eligible. Please keep in mind that your supporting documentation should be the documentation or data used to prepare your FCC Form 471 application.

Option III

You may request to remove the cost associated with the ineligible item(s) from this FRN and place the this cost in a new FRN (we refer to this process as "splitting an FRN"). Would you like to split the FRN? _____ Yes or _____ No.

If yes, you must provide us with the information to create the new FRN by doing the following:

- o Modify the current FRN information found in Block 5 of your FCC Form 471 by reducing it in order to remove the ineligible cost.
- o Complete Block 5 information for the original FRN (pre and post-split FRN).
- o Provide the Block 5 information for the original FRN (pre and post-split FRN). The post-split FRN will be a request for the amount of the original FRN with the ineligible cost removed
- o Complete Block 5 for the new FRN using the form below. The new FRN will be a request for the ineligible cost. This FRN will then be denied because it represents the cost associated with the ineligible product or service.

You may provide this information by sending a copy of the original FRN and two (or more) completed FCC Form 471, Block 5's filled out showing the information for the FRNs as you wish it to be after the split is completed.

Please complete the blank FCC Form 471, Block 5 below. For additional instructions to fill out your Block 5 refer to FCC Form 471 filing instructions at <http://www.usac.org/sl/tools/forms.aspx>.

| | |
|--|--|
| Entity Number _____ | Applicant's Form Identifier _____ |
| Contact Person _____ | Phone Number _____ |
| Block 5: Discount Funding Request(s) Instructions: Use one Block 5 page for EACH service (Funding Request Number) Block 5, page __ of __ which you are requesting discounts. Make as many copies of this page as needed, and number the completed pages to assure that they are all processed correctly. | |
| If this is a duplicate Funding Request (e.g., of an FRN that is not yet approved, under appeal, etc.), check this box and enter the original FRN in the space provided: | |
| 10 <input type="checkbox"/> | 23 Calculations |
| 11 Category of Service (only ONE category should be checked) | |

| <div style="display: flex; justify-content: space-between;"> <div> PRIORITY One <input type="checkbox"/> Telecommunications Service <input type="checkbox"/> Internet Access </div> <div> PRIORITY Two <input type="checkbox"/> Internal Connections Other than Basic Maintenance <input type="checkbox"/> Basic Maintenance of Internal Connections </div> </div> | | | |
|--|---|------------------------------|---|
| 12 | FCC Form 470 Application Number | Recurring Charges | A. Monthly charges (total amount per month for service) |
| 13 | SPIN – Service Provider Identification Number | | B. How much of the amount in A is ineligible? |
| 14 | Service Provider Name | | C. Eligible monthly pre-discount amount (A minus B) |
| | | | D. Number of months service provided in funding year |
| 15a | <input type="checkbox"/> Check this box if this Funding Request is for non-contracted tariffed or month-to-month services. | Non-Recurring Charges | E. Annual pre-discount amount for eligible recurring charges (C x D) |
| 15b | Contract Number | | F. Annual non-recurring charges |
| 15c | <input type="checkbox"/> Check this box if this Funding Request is covered under a master contract (a contract negotiated by a third party, the terms and conditions of which are then made available to an eligible entity that purchases directly from the service provider). | | G. How much of the amount in F is ineligible? |
| 15d | <input type="checkbox"/> Check this box if this Funding Request is a continuation of an FRN from a previous funding year based on a multi-year contract. If so, provide that FRN here: <div style="border-bottom: 1px solid black; width: 100px; margin-top: 5px;"></div> | | |
| 16b | <input type="checkbox"/> Check this box if there are multiple Billing Account Numbers and attach a complete list of those numbers to this page. | | H. Annual eligible pre-discount amount for non-recurring charges (F minus G) |
| 17 | Allowable Vendor Selection/Contract | | |

| | | |
|---|--|--|
| Date (mm/dd/yyyy) (based on FCC Form 470 filing) | | |
| 18 | Contract Award Date (mm/dd/yyyy) | Total Charges |
| 19 | Service Start Date (mm/dd/yyyy) | |
| 20a | Service End Date (mm/dd/yyyy) | |
| 20b | Contract Expiration Date (mm/dd/yyyy) | |
| | | I. Total funding year pre-discount amount (E + H) |
| | | J. Discount from Block 4 Worksheet |
| | | K. Funding Commitment Request (I x J) |
| 21 | Description of This Service: | Attachment |
| 22 | Entity/Entities Receiving This Service: | <p>a. If the service is site-specific (provided to one site and not shared by others), list the Entity Number of the entity from Block 4 receiving this service:</p> <p>b. If the service is shared by all entities on a Block 4 worksheet, list the worksheet number (e.g., 1):</p> |

- II. Based on documentation that you provided during the review of your Funding Year 2012 FCC Form 471 826231, we have determined that FRN 2254862 includes ineligible items. According to Program rules, USAC is unable to fund ineligible products or services. The charges associated with ineligible items must be identified and removed from the funding request. Listed below are the ineligible items and their associated costs:

Polycom HDX 8000 series 7200-23150-001 (91% eligible)
Remove \$144.00

New FRN amount: \$2256/month

Please confirm that you agree with the cost allocation and that the ineligible item(s) should be removed from the FRN. ☐ Yes or ☐ No

What to do if you disagree

Option I

If you do not agree with the cost allocation, you must provide an alternative cost allocation method or formula identifying the cost(s) associated with the ineligible item(s). Such a cost allocation must be based on tangible criteria and reach a realistic result. For further information, see "Cost Allocation Guidelines for Products and Services" located in the Reference Area of the USAC Web site at:
<http://www.usac.org/sl/applicants/beforeyoubegin/eligible-services/cost-allocations.aspx>.

Option II

If you do not agree with our eligibility assessment of your product(s) or service(s), you must provide third party documentation (e.g., vendor bill, contract, service agreement, etc.) supporting your position and showing why this product or service is eligible. Please

keep in mind that your supporting documentation should be the documentation or data used to prepare your FCC Form 471 application.

Option III

You may request to remove the cost associated with the ineligible item(s) from this FRN and place the this cost in a new FRN (we refer to this process as "splitting an FRN").

Would you like to split the FRN? _____ Yes or ____ No.

If yes, you must provide us with the information to create the new FRN by doing the following:

- o Modify the current FRN information found in Block 5 of your FCC Form 471 by reducing it in order to remove the ineligible cost.
- o Complete Block 5 information for the original FRN (pre and post-split FRN).
- o Provide the Block 5 information for the original FRN (pre and post-split FRN). The post-split FRN will be a request for the amount of the original FRN with the ineligible cost removed
- o Complete Block 5 for the new FRN using the form below. The new FRN will be a request for the ineligible cost. This FRN will then be denied because it represents the cost associated with the ineligible product or service.

You may provide this information by sending a copy of the original FRN and two (or more) completed FCC Form 471, Block 5's filled out showing the information for the FRNs as you wish it to be after the split is completed.

Please complete the blank FCC Form 471, Block 5 below. For additional instructions to fill out your Block 5 refer to FCC Form 471 filing instructions at <http://www.usac.org/sl/tools/forms.aspx>.

| | |
|--|-----------------------------------|
| Entity Number _____ | Applicant's Form Identifier _____ |
| Contact Person _____ | Phone Number _____ |
| Block 5: Discount Funding Request(s) Instructions: Use one Block 5 page for EACH service (Funding Request Number) Block 5, page ____ of ____ which you are requesting discounts. Make as many copies of this page as needed, and number the completed pages to assure that they are all processed correctly. | |
| If this is a duplicate Funding Request (e.g., of an FRN that is not yet approved, under appeal, etc.), check this box and enter the original FRN in the space provided: | |
| 10 <input type="checkbox"/> | 23 Calculations |
| 11 Category of Service (only ONE category should be checked) | |

| | | | | | |
|---|---|---|--|---|---|
| PRIORITY One | | PRIORITY Two Internal Connections Other than Basic Maintenance | | Recurring Charges | A. Monthly charges (total amount per month for service) |
| Telecommu nications Service | | Basic Maintenance of Internal Connections | | | B. How much of the amount in A is ineligible? |
| 12 FCC Form 470 Application Number | | | | | C. Eligible monthly pre-discount amount (A minus B) |
| 13 SPIN – Service Provider Identification Number | | | | | D. Number of months service provided in funding year |
| 14 Service Provider Name | | | | E. Annual pre-discount amount for eligible recurring charges (C x D) | |
| 15a | <input type="checkbox"/> Check this box if this Funding Request is for non-contracted tariffed or month-to-month services. | | | Non-Recurring Charges | F. Annual non-recurring charges |
| 15b | Contract Number | | | | |
| 15c | <input type="checkbox"/> Check this box if this Funding Request is covered under a master contract (a contract negotiated by a third party, the terms and conditions of which are then made available to an eligible entity that purchases directly from the service provider). | | | | G. How much of the amount in F is ineligible? |
| 15d | <input type="checkbox"/> Check this box if this Funding Request is a continuation of an FRN from a previous funding year based on a multi-year contract. If so, provide that FRN here: | | | | |
| 16b | <input type="checkbox"/> Check this box if there are multiple Billing Account Numbers and attach a complete list of those numbers to this page. | | | | H. Annual eligible pre-discount amount for non-recurring charges (F minus G) |
| 17 Allowable Vendor Selection/Contract | | | | | |